Applicant: **Murage, Lionel Dishon**
Organisation: **Oceans Alive Foundation**
Funding Sought: **£99,814.00**

DIR30CC\1215

Strengthening Kilifi county's Beach Management Units Network to improve co-management

The Kilifi County Beach Management Unit (KCBMU) Network has recently been constituted and needs to build clear governance processes and capacity to support its members. The focus is on building governance capacity and capability to form a common voice to lobby county government on behalf of 17 BMU members and mobilise resources to help manage and enforce joint co-management of a 5,724km2 inshore coastal fishery catering for 4,713 individual fishers and livelihoods in coastal products value chains.

DIR30CC\1215

Strengthening Kilifi county's Beach Management Units Network to improve co-management

Section 1 - Contact Details

PRIMARY APPLICANT DETAILS

Name	Alice
Surname	Mshai
Tel (Work)	██████████
Email (Work)	██████████

CONTACT DETAILS

Title	Mr
Name	Lionel Dishon
Surname	Murage
Website (Work)	██████████
Tel (Work)	██████████
Email (Work)	██████████
Address	█
	█
	█
	█
	█

GMS ORGANISATION

Type	Organisation
Name	Oceans Alive Foundation
Phone (Work)	+██████████
Email (Work)	██████████
Website (Work)	██████████
Address	█
	█
	█
	█
	█

Section 2 - Title & Summary

Q3. Title:

Strengthening Kilifi county's Beach Management Units Network to improve co-management

Please attach a cover letter as a PDF document.

⤓ Cover Letter_Oceans Alive
📅 23/10/2023
🕐 15:43:20
📄 pdf 141.84 KB

Q4a. Is this a resubmission of a previously unsuccessful application?

⦿ No

Q5. Summary of project

Please provide a brief non-technical summary of your project: the capability and capacity problem/need it is trying to address, its aims, and the key activities you plan on undertaking.

The Kilifi County Beach Management Unit (KCBMU) Network has recently been constituted and needs to build clear governance processes and capacity to support its members. The focus is on building governance capacity and capability to form a common voice to lobby county government on behalf of 17 BMU members and mobilise resources to help manage and enforce joint co-management of a 5,724km2 inshore coastal fishery catering for 4,713 individual fishers and livelihoods in coastal products value chains.

Section 3 - Title, Dates & Budget Summary

Q6. Country(ies)

Which eligible country(ies) will your project be working in? Where there are more than 4 countries that your project will be working in, please add more boxes using the selection option below.

Country 1	Kenya	**Country 2**	*No Response*
Country 3	*No Response*	**Country 4**	*No Response*

Do you require more fields?

⦿ No

Q7. Project dates

Start date:	**End date:**	**Duration (e.g. 1 years, 8 months):**
01 April 2024	31 March 2025	1 year

Q8. Budget summary

Year:	2024/25	2025/26	Total request

Amount:	£99,814.00	£0.00	**£** 99,814.00

Q9. Do you have proposed matched funding arrangements?

⊙ No

Please explain why.

> Currently the proposed project has no matched funding as current funding for the Network through OA comes to an end in December 2023 while the anticipated start for the next phase which is the basis of this proposal starts in April 2024. However, proposed project activities are founded on the achievements of this initial phase.

Q10. If you have a significant amount of unconfirmed matched funding, please clarify how you will fund the project if you don't manage to secure this?

> OA is currently exploring potential funding opportunities with Blue Ventures to support monitoring of the 2 JCMAs that include a total of 9 BMUs within the Kilifi County. Both partners have consulted and have a tentative agreement regarding potential areas to be covered. However, funding for these activities have not been taken into consideration in this proposal and therefore if funding from BV is not successful it will not affect implementation of the proposal. Nevertheless, successful BV funding will enable collection of data that can be used as evidence to measure effectiveness of establishment of JCMAs

Section 4 - Project need

Q12. The need that the project is trying to address

Please describe evidence of the <u>capability and capacity</u> need your project is trying to address with reference to <u>biodiversity conservation and poverty reduction challenges and opportunities</u>.

For example, how have you identified the need? Why should the need be addressed or what will be the value to the country? Please <u>cite the evidence</u> you are using to support your assessment of the need.

> Kilifi County's marine and coastal waters are recognised for their rich biodiversity and their productivity significantly contributes to both local and national economies. Kilifi county hosts two Important Bird Areas, one Important Marine Mammal Area and a part of UNESCO's Malindi-Watamu Biosphere Reserve. Additionally, the resources support nearly 4,713 fishers who depend on the inshore marine waters for food and income generating fish catches worth KES 2.2 billion, the highest among the 5 coastal counties (Ministry of Agriculture, Livestock and Fisheries, 2016).
> However, these rich marine/coastal resources are threatened from over-exploitation and degradation resulting firstly from the gradual increase in fishing effort. Empirical and anecdotal information are already showing a decline in catches for inshore marine waters, where more than 80% of the fishers are fishing; with illegal, unregulated and unreported fishing as one of the greatest contributors to over-exploitation and degradation. Kenya has now a conducive policy and legal frameworks supporting collaborative management for marine and coastal resources. Beach Management Units (BMUs) are the institutions representing coastal communities, they are legally mandated for local management by delineating Co-Management Areas (CMAs) and Joint CMAs (JCMAs). However, most BMUs in Kilifi county still have limited capacity and resources to effectively play such role.
> Only one BMU ("Kuruwitu" with support from OA) out of 17 in Kilifi county has defined a CMA covering 10km of

coastline. More ecologically connected and effective CMAs have potential to deliver impact at scale over 265km of coast in Kilifi county.

Established as representative body for the county's BMUs, Kilifi County BMU (KCBMU) network's key responsibilities are to support the county's BMUs, for them to implement sustainable management of fisheries resources (including by establishing CMAs), to ensure representation and participation of all actors including women, youth, vulnerable and marginalised groups in fisheries management. KCBMU is particularly expected to play a key role establishing JCMAs, by facilitating coordinated BMU efforts and responsibility for sharing fishing grounds and their management.

To date unfortunately KCBMU network has been unable to play these roles which greatly impairs the ability of the network to support in turn its members. Indeed, it has limited expertise to improve BMU governance and has itself limited institutional management capacity and financial resources. These deficiencies prevent it from effectively addressing key gender inequalities resulting from societal attitudes and cultural beliefs around gender roles (Stiles, et al, 2019). This in turn hinders the recognition of the roles played by women and other marginalised groups in fisheries resulting in limited participation in decision-making and constraints on their resource-dependent livelihoods.

Kilifi County government currently does not provide any funding to the Network, despite provisions to do so via different county-level processes. This hampers representation of BMU voices in local to national policy consultations.

The proposed project is rooted into the gradual and early progress from Kuruwitu BMU, of the first established in the country, and follows efforts, led by OA, to establish KCBMU network (under the Fisheries Act) in 2020-2022.

Section 5 - Darwin Objectives and Conventions

Q13. Biodiversity Conventions, Treaties and Agreements

Q13a. Your project must support the commitments of one or more of the agreements listed below.

Please indicate which agreement(s) will be supported.

- ☑ Convention on Biological Diversity (CBD)
- ☑ Ramsar Convention on Wetlands (Ramsar)
- ☑ Global Goals for Sustainable Development (SDGs)

Q13b. National and International Policy Alignment

Using evidence where available, please detail how your capability and capacity project <u>will contribute to national policy</u> (including NBSAPs, NDCs, NAPs etc.) and in turn <u>international biodiversity and development conventions</u>, treaties and agreements that the country is a signatory of.

The project will support the Kenyan government and people in adhering to and advancing multiple national policy frameworks, including the Wildlife Conservation and Management Act and the Fisheries Act, by supporting sustainable natural resource management; raising awareness of biodiversity values, laws, regulations, and sustainable practices within communities, conservancies and BMUs; and supporting sustainable livelihoods that are compatible with conservation goals. The project will also work in support of the Nairobi Convention for the Protection, Management and Development of the Coastal and Marine Environment of the Western Indian Ocean framework.

The project is in line with the Constitution of Kenya's (2010) guiding principles and values of participation, consultation and transparency in the management, protection and conservation of the environment, in articles

10, 42 and 69. More specifically, the Fisheries Management and Development Act (2016) particularly section 37 defines BMU as a structured community entity for participation in fisheries management.

Another important legislation is the County Governments Act Cap 265 of 2012 which elaborates on the responsibilities of the County government including implementation of specific policies on natural resources and environmental conservation. Other relevant Acts are the Wildlife Conservation and Management Act, 2013, Forest Conservation and Management Act, Environmental Management and Coordination Act (2015).

Some of the international conventions that clearly align with proposal include the Convention on Biological Diversity particularly in respect to aspects on ensuring sustainable use of components of biological diversity amongst others, the Convention for the Protection, Management and Development of the Marine and Coastal Environment of the Western Indian Ocean (The Nairobi Convention), FAO Code of Conduct for Responsible Fisheries, 1995 and the Protocol for the Protection of the Marine and Coastal Environment of the Western Indian Ocean from Land-Based Sources and Activities, adopted in 2010.

Section 6 - Method, Change Expected, Gender & Exit Strategy

Q14. Methodology

Describe the methods and approach you will use to achieve your intended <u>capability and capacity</u> Outcome and contribute towards your Impact. Provide information on:

- how you have reflected on and incorporated **evidence and lessons learnt** from past and present similar activities and projects in the design of this project.
- the specific approach you are using, supported by **evidence** that it will be effective, and **justifying why you expect it will be successful** in this context.
- how you will undertake the work (activities, materials and methods).
- what the **main activities** will be and where these will take place.
- how you will **manage the work** (governance, roles and responsibilities, project management tools, risks etc.).
- what practical elements will be included to embed new capabilities.

Oceans Alive is currently in the process of implementing a one-year Small Technical Grant (STG) project funded by the EU BIOPAMA, scheduled to conclude in December 2023. This project is supported by the International Union for Conservation of Nature (IUCN). The project's overall objective is to strengthen the institutional legal framework of the Kilifi County BMU Network.

The project has achieved several significant milestones, including:

• Supporting the formal establishment and registration of the Kilifi County BMU Network with the Kilifi County government.

• Facilitating a transparent and democratic election process for Network officials who will represent the 17 BMUs within the County.

• Mapping the Network's jurisdiction to clearly define its operational boundaries such as identifying the primary fish landing sites associated with each of the 17 BMUs and potential fish spawning grounds and critical habitats for conservation efforts.

• Estimating catch landings for each of the 17 BMUs through Catch Assessment Surveys (CAS).

• Developing the Network By-laws to operationalize the Network.

This proposal for the Darwin Initiative builds on these achievements. Project coordination and implementation will be conducted from Oceans Alive offices in Kuruwitu, Kilifi County, with the project utilizing internal capacity and partnering with FFI and the Kilifi County Directorate of Fisheries to conduct assessments and deliver training programs to strengthen the Network's capacity.

Initially, a Site-Level Assessment of Governance and Equity (SAGE) will be conducted for Network members at three levels: Ward, Sub-County and County. This assessment will use the SAGE methodology developed by the International Institute for Environment and Development (IIED). SAGE is designed for sites where biodiversity

conservation and benefits to local communities are key goals, specifically chosen in this case because BMUs are expected to co-manage marine resources with the County government through the establishment of CMAs and JCMAs. Trained SAGE Assessors from Oceans Alive will conduct the SAGE assessment and oversee the trainings. SAGE methodology has been chosen due to previous successful use by Oceans Alive and FF in assessing the Kuruwitu BMU and building capacity based on SAGE findings, along with the training of SAGE Assessors.

Based on the SAGE findings, governance guidelines and procedures will be developed for a capacity-building program to address identified gaps. Training sessions will be interactive and tailored to the specific needs and capacities of the trainees. The County-level of the Network will initially be trained in the SAGE methodology, and these trained individuals will subsequently deliver SAGE assessments to the lower levels of the Network. The same approach will be used in conducting the trainings for the Network.

Finally, the project will develop and implement strategies to ensure active participation of marginalized and under-represented community members.

To enhance the institutional capacity of the Network, an initial Organizational Capacity Assessment will be conducted to identify capacity gaps and needs within the Network and among its members. This assessment will encompass areas such as conflict management, resource mobilization, financial and administrative management, policies and procedures, communication and advocacy, strategic planning, monitoring and evaluation, among others.

Awareness and outreach campaigns will be conducted to raise awareness and sensitize the Network fraternity, including members of the 17 BMU assemblies (comprising nearly 4,700 BMU members). Oceans Alive and FF will collect, compile, and develop awareness materials targeting the assemblies. Meetings will be organized with the assemblies, with Network Committee members leading the outreach program to foster ownership and trust among the BMU members.

To enable the Network to advocate and lobby for a conducive legislative environment and support from the County government, the project will work with the BMUs and key stakeholders to identify existing fisheries and natural resource management committees (within and outside the county), as well as other relevant decision-making bodies. The project will establish communication channels between the Network and government authorities through approaches such as supporting regular meetings and identifying liaisons responsible for information exchange between the Network and County government. The project will also support the development of agreements or Memoranda of Understanding (MoUs) between the Network and government agencies to define roles, responsibilities, and decision-making processes.

Q15. How will you identify participants?

How did/will you identify and select the participants (individuals and/or organisations) to directly benefit from the <u>capability and capacity building activities</u>? What makes these the most suitable participants? How will you ensure that the selection process is unbiased, fair and transparent? How have you incorporated GESI considerations in identifying participants?

Participants in the project have been selected based on the successful implementation of the STG BIOPAMA/EU/IUCN project ending in 2023. Three key organizations were involved in the implementation of the project, namely the Kilifi BMU Network, Oceans Alive, and the Kilifi Directorate of Fisheries. It is on the basis of the lessons learned in the implementation of this project that the following direct participants have been identified.

In the proposed project, we will work with four organizations in the implementation of project activities namely:
- Kilifi BMU Network and its constituent memberships, comprising the 17 BMUs represented at the 3 levels ie Ward, Sub-county and County. The project targets a total of 43 BMU representatives who will directly benefit and be the target of the project activities that include assessments, training, and sensitization campaigns.
- Oceans Alive staff with a total of 5 staff/personnel who will directly participate in the implementation of project activities.
- Fauna and Flora (FF) 1 staff member who will also support the implementation and advise on technical aspects.
- Kilifi Directorate of Fisheries: 10 personnel, that includes all the 4 sub-county Fisheries officers, 2 officers from the County headquarters, and 4 assistant fisheries officers who will also be engaged in the implementation of

the project activities.

In addition, trainings will also target executive committees from each of the 17 BMUs. On average, each BMU Executive committee has about 15 representatives, which translates to about 255 BMU executive committee members.

Sensitization and awareness campaigns will target the BMU Assemblies, and it is expected that a minimum of 100 BMU members from each of the 17 BMUs will participate in the assembly meetings, translating into about 1,700 BMU members reached in total.

In total, the project is expected to have a total of 2,014 participants.

Q16. Gender equality and social inclusion

All applicants must consider whether and how their project will contribute to promoting equality between persons of different gender and social characteristics. Explain your understanding of how individuals may be excluded from equal participation within the context of your project, and how you seek to address this. You should consider how your project will proactively contribute to ensuring individuals achieve equitable outcomes and how you will engage participants in a meaningful way.

Kenya's constitution provides a strong legal foundation for promoting gender equality and social inclusion. Article 27 of the Constitution prohibits discrimination on gender, race, ethnicity, religion, or disability and emphasizes the need to promote gender equality with affirmative action to redress past discrimination against any group including women. It mandates that no more than two-thirds of the members of elective public bodies should be of the same gender, promoting gender diversity in politics and public office.

Women make significant contribution in fisheries activities within the county, specifically in fish processing and marketing, contributing 40% of the value addition in the fisheries sector (FAO, 2020). However, they only earn 20% of the income and play a limited role in decision-making within the BMUs. This is attributable to a number of systemic and legacy factors.

The Watha are a vulnerable and marginalized group (VMG) found in Kilifi county where they practice traditional hunter-gatherer, subsistence farming and shallow water fishing (KEMFSED, 2019).

The proposed project will adopt measures to promote gender, equality and social inclusion (GESI) through a mainstreaming approach from inception, implementation, monitoring, reporting and evaluation. Some of the activities to support GESI include review of the current Network governance, procedures, processes and strategies to incorporate, address and promote GESI, informed by the SAGE assessment, awareness raising meetings and trainings specifically targeting marginalised groups, and ensure sex-disaggregated data collection. Strategies for the inclusion of women and VMGs within the Network governance structure will be undertaken through a consultative process.

Q17. Change expected

Detail the expected changes to both biodiversity and multi-dimensional poverty reduction, and links between them, that this work will deliver. You should identify what will change and who exactly will benefit a) in the short-term (i.e. during the life of the project – including capability and capacity building benefits) and b) the potential changes in the long-term (after the project has ended).

When talking about how people will benefit, please remember to give details of who will benefit, differences in benefits by gender or other layers of diversity within stakeholders, and the number of beneficiaries expected. The number of communities is insufficient detail – number of households should be the largest unit used.

The project will work to strengthen KCBMU network both internally, to have clear governance rules enabling inclusive representation and active engagement of their BMU members, and externally to be able to provide services to the BMUs it represents, such as mentoring to fulfil their responsibility, facilitating their definition of coastal/marine management measures via CMAs and represent their perspectives in county-level consultations

and processes.

In the short-term:

Informed by clear guidelines co-developed with the project team, the KCBMU network will have strong governance procedures in place to foster engagement of BMU representatives and facilitate coordination for shared management of overlapping fishing grounds. Following training, the BMU representatives engaged in the KCBMU network will be able to apply these principles of good community governance, based on transparency, accountability and with mechanisms to deal with grievances and conflicts.

Benefitting from tailored training of trainers based on their identified capacity gaps the KCBMU network members (at the Ward, Sub-County and County levels) will be able in turn to conduct trainings towards BMUs of the network and facilitate regular meetings of the BMU representatives, for them to coordinate and support each other to enhance BMU governance and marine management progress. Having a reporting system will enable the KCBMU network tracking the activities of the BMUs to facilitate and coordinate support where needed, as BMUs will most likely progress at different pace. Awareness and sensitization campaigns will promote dissemination of information to community members and key stakeholders translating to enhanced understanding of best practices and importance of approaches such as CMAs/JCMAs for sustainable resource management. Finally, it is expected at the end of the project, the network to have initiated advocacy efforts that create an enabling environment for increased support from the Kilifi County government, including e.g. financial resources and/or enforcement support.

In the long term:

The Kilifi BMU Network will play a central intermediary role, as a platform to address any conflicts in relation to the management of shared fishing grounds, provide a collaborative framework to manage JCMAs (currently starting to be piloted in several parts of the coast), addressing capacity limitations particularly in relation to enforcement by facilitating resource sharing among BMUs and with national agencies (e.g. Kenya Coast Guards, Kenya Fisheries Service, Kenya Wildlife Service). A KCBMU network able to advocate and lobby for resource allocation from the government, will be able to amplify the voice of coastal communities. And as a first county BMU network, KCBMU network can serve as a model to replicate in the other coastal counties. It can then join forces with those in neighbouring counties and become a key stakeholder with strong ownership from its members, enabling those living closest to the marine/coastal resources to have meaningful roles in management and conservation for their benefit.

Q18. Sustainable benefits and scaling potential

How will the project reach a point where the benefits of strengthened capability and capacity can be sustained post-funding?

How will the capability and capacity be retained and remain available to deliver benefits in-country after the project? Is there potential for the new capability and capacity to renew itself or deliver additional capability and capacity, for example by building future environmental leaders beyond the project?

Its envisioned in the long-term that the proposed project will make significant contributions to ensure the sustainability of the Network, making it autonomous and ensure that the network is able to undertake and influence co-management planning within the County.

Firstly, the project will seek to ensure local ownership and therefore all activities will seek to empower the Network and its members through building the local capacity. The Network will also be actively involved in all decision-making aspects in regard to the project.

Secondly, the project will develop simple technical guides and tools. These will be tested during the project and made accessible to Network members even after the project ends. The project also proposes to initially train the County Network Membership to participate and roll out training to all other levels. These trainees will be expected to roll out training after the project comes to an end.

Strengthening governance and institutional capacity will ultimately lead to a stronger and effective Network best placed to ensure sustainable fishery management. Enhancing governance will lead to behaviour changes, in particular regulating the present use of illegal gears. Governance structures established during the project will

remain active even after the end of the project. Institutional strengthening will also involve building the resource mobilization capacity of the Network to enable the funding beyond the project's financial support.

The project will also develop and establish partnerships and collaboration with other networks, organizations and key stakeholders and these collaborations are expected to provide on-going support and resources to the Network as well as amplify the visibility and voice of the network. Efforts to address marginalization of women and youth are expected to ensure that the network is representative of resource users thereby reducing potential conflicts that could derail the Network.

If necessary, please provide supporting documentation e.g. maps, diagrams, references etc., as a PDF using the File Upload below:

 ⤓ Map_KCBMU NW 17 Member BMUs
 📅 23/10/2023
 🕐 17:17:02
 📄 pdf 5.54 MB

Section 7 - Risk Management

Q19. Risk Management

Please outline the <u>6 key risks</u> to achievement of your Project Outcome and how these risks will be managed and mitigated, referring to the Risk Guidance. This should include at least one Fiduciary, one Safeguarding, and one Delivery Chain Risk.

Risk Description	Impact	Prob.	Gross Risk	Mitigation	Residual Risk
Fiduciary (financial) There is a risk that these funds could intentionally (fraud) or unintentionally (e.g., misunderstanding of grant requirements) be misused or misreported, leading to loss of project funds and risk to project reputation.	Moderate	Unlikely	Moderate	Fund management is centralised in OA's robust finance system. OA has Admin and finance manuals and internal policy in place, with regular checks. These policies were facilitated by a previous organisation development project in partnership with Fauna & Flora	Minor
Safeguarding The risk could involve abuse and harassment akin to bullying, in the case of lively discussions and negotiations between neighbouring sometimes rival coastal communities.	Major	Rare	Moderate	OA has Code of Conduct guide in place that is mandatory for OA staff to follow as per their employment contract. This Code of Conduct describes different cases of harassment and ways to avoid and report them, the code has been developed with support from Fauna & Flora.	Minor

Delivery Chain Project partners (in particular government-related entities) may have differing approaches to activity implementation, and priorities. Should approaches conflict, activity delivery may be compromised.	Moderate	Possible	Major	As part of project inception, OA will establish and build consensus among partners on project delivery approaches, and workplan (including timeline targeted). The Project Lead is based on site and has existing relationships with all partners and will convene with partners regularly to ensure progress.	Minor
Risk 4 Other projects like KEMSFED (World Bank) and GoBlue (EU) to boost Kenya's Blue Economy are underway. These may mobilise county-government staff on a number of activities.	Minor	Possible	Moderate	Close relations with KCBMU network and County government staff involved will help coordinate and diminish overlap with other projects. The county calendar has been checked during the development of the proposal to avoid competing with other demands.	Minor
Risk 5 With Blue Economy high on Kenya's agenda, investment in new infrastructures developments can appear and have stronger impacts on coastal ecosystems and resource use.	Minor	Possible	Moderate	Pro-active monitoring of development plans by OA team via official announcements and individual relationships forged with a number of individuals and stakeholders will allow to anticipate. Currently, no new major coastal infrastructure is planned in the county	Minor
Risk 6 Some BMU representatives have strong characters and can be sometimes difficult negotiators and not always collaborative.	Moderate	Possible	Major	OA team and individuals involved has up to 20 years of experience with BMUs and many of the individuals involved in the county on coastal communities and small-scale fisheries management. They have always managed to find ways to resolve issues through discussions. County staff can also help to intermediate.	Minor

Q20. Project sensitivities

Please indicate whether there are sensitivities associated with this project that need to be considered if details are published (detailed species location data that would increase threats, political sensitivities, prosecutions for illegal activities, security of staff etc.).

⊙ No

Section 8 - Workplan

Q21. Workplan

Provide a project workplan that shows the key milestones in project activities.

  ⤓ <u>Workplan_Kilifi BMU Network Proposal</u>
  🗓 23/10/2023
  🕐 17:27:08
  📄 pdf 138.52 KB

Section 9 - Monitoring and Evaluation

Q22. Monitoring and evaluation (M&E)

Describe how the progress of the project will be monitored and evaluated, making reference to who is responsible for the project's M&E.

Darwin Initiative projects are expected to be adaptive, and you should detail how the monitoring and evaluation will feed into the improved delivery of the project including its management. M&E is expected to be built into the project and not an 'add' on. It is as important to measure for negative impacts as it is for positive impact. Additionally, please indicate an approximate budget and level of effort (person days) to be spent on M&E (see Finance Guidance).

M&E will be under the responsibility of the Project Leader, with several members of OA team involved in data collection, and with support from Fauna & Flora, drawn from experience in larger Darwin projects.
The project will develop and implement a M&E plan detailing monitoring steps according to methods chosen and individual roles, which will ensure the collection of data required to measure and verify the achievement of project indicators. The KCBMU network with the project team will contribute data and feedback progress after six months and at the end to partners.
Specific tools will include the following key ones:
- The Organisational Capacity Assessment (OCA), a structured tool facilitating self-assessment of an organization's capacity followed by action planning for capacity improvements. The OCA format helps the organization reflect on its processes and functions and score itself against benchmarks and increases ownership of the action plan.
- SAGE (Site-based Assessment of Governance and Equity) is a stakeholder workshop in two parts: (1) questionnaire capturing qualitative information including specific governance challenges and suggested actions; (2) actors come together to share their ideas for actions to improve governance and equity. One individual in OA is a SAGE facilitator.
- Knowledge, Attitudes and Perceptions (KAP) surveys on local coastal/marine resource management and BMUs activities, conducted with BMU members in the county, to evaluate their understanding and support for management before and after the awareness raising campaigns.
- Knowledge evaluations before and after trainings (including trainings of trainers), for KCBMU network institutional capacity.

Total project budget for M&E (£):		£▮▮▮▮▮
(this may include Staff and Travel and Subsistence Costs)		
Total project budget for M&E (%):		▮
(this may include Staff and Travel and Subsistence Costs)		
Number of days planned for M&E	20	

Section 10 - Indicators of Success

Q23. Indicators of success

Please outline the Outcome and Outputs of the project and how you will show that they have been achieved by using SMART indicators and milestones.

	SMART Indicator	Means of Verification
Outcome Strengthened governance and training capacity enable KCBMU network to provide support to its 17 member-BMUs to deliver improved coastal/marine management, enhancing community wellbeing across 265km of coastline	- 0.1. DI-A03. By EoP 2 local organisations (KCBMU network and OA) have improved governance as well as institutional and management capability and capacity further enabling its member-BMUs to implement coastal/marine management as a result of project. - 0.2. DI-A05. By EoP 9 trainers (4 women, 5 men) members of the KCBMU network are trained, and are reporting to have delivered further training in marine/coastal management (to BMUs in Kilifi county) by the end of the project. - 0.3. DI-B05. 43 people (15 women, 28 men) with increased participation in KCBMU network regular meetings and engagements, including into Kilifi County marine/coastal-related topics stakeholder consultations by EoP	- 0.1. Organisational Capacity and Assessment (OCA) report before trainings' start and repeat at EoP. - 0.2. Short report on before/after Training of Trainers, and list of trainings the newly-trained KCBMU network trainers have delivered to member-BMUs by EoP. - 0.3. KCBMU network meeting minutes with counted attendance gender-disaggregated and lists and list of county-level consultative meetings participated by KBMU.

	- 1.1. Governance rules and SOPs for KCBMU network are participatorily developed and officially adopted by the members of KCBMU network by end of Q2	- 1.1. Official governance rules and SOPs for the KCBMU network in its constitution
Output 1 KCBMU network has clear governance rules agreed by all its constituting 17 member BMUs, is operational and inclusive with marginalized groups' representatives	- 1.2. A strategy to increase effective participation of marginalised groups into BMUs of the county (women, youth – aged 35 and below) are developed by end of Q2 and included into BMU plans by the EoP	- 1.2. Strategy to increase participation of marginalised groups into BMUs including a roadmap to implement this strategy
	- 1.3. By EoP, members report improved KCBMU network governance function and representation against Q1 baseline.	- 1.3. SAGE baseline assessment at inception of the project (Q1) and at End of Q3
	- 2.1. Areas of institutional and management capacity needs identified for the KCBMU network and the member BMUs are identified by end of Q2.	- 2.1. Organisational Capacity and Needs Assessment (OCA) report
Output 2 KCBMU network institutional and management capacity is strengthened, enabling the network to serve as a platform to share sustainable resource management and best practices.	- 2.2. Training of Trainers modules corresponding to the needs identified are developed and the trainings are conducted, by end of Q3 targeting 9 individual trainers (4 women and 5 men) members of KCBMU network.	- 2.2. Training modules developed corresponding to the needs identified in the OCA and list of trained individuals
	- 2.3. DI-A04. At least 9 individual members (4 women and 5 men) of KCBMU network are trained, and are reporting that they are applying new capabilities (skills and knowledge) 6 by the EoP.	- 2.3. Post-trainings evaluation report at the EoP (Q4) conducted with the individuals trained

| Output 3

KCBMU network is an active platform monitoring the performance of its member BMUs, facilitating awareness raising campaigns on benefits and options for local coastal/marine management to community members	- 3.1. By end of Q2 the KCBMU network has a standardised system enabling it to effectively monitor the performance of their 17 member BMUs	- 3.1. Standardised BMU governance and local management monitoring system is active and in use by the KCBMU network.
	- 3.2. 4 awareness raising campaigns on benefits and options for local coastal/marine management reaching at least 1700 people (with at least 30% women)/ 17 BMUs by EoP	- 3.2. Reports from awareness raising campaigns conducted with photos and attendance lists (gender-disaggregated) where applicable.
	- 3.3. By EoP, 75% of the county's 17 BMUs members women and men report improved understanding and support of local management objectives	- 3.3. Before and after campaign rapid KAP survey in 17 BMUs to assess understanding of local management objectives
Output 4

Kilifi county's regulatory environment is improved, further enabling the KCBMU network to actively participate in cross-sectoral fora impacting marine/coastal resource use and management | - 4.1. At least 8 individuals (including at least 3 women) members of the KCBMU network are trained on county-level processes, related advocacy and negotiation skills. | - 4.1. Training reports on county-level processes, related advocacy and negotiation skills and trainees list. |
| | - 4.2. By EoP the KBMU network has participated to at least 4 county-level consultative meetings alongside relevant institutions (e.g. County's Fisheries Department, KWS, KeFS, KMFRI) | - 4.2. List of county-level consultations attended by the KBMU network, with dates, agenda, positions expressed by the KCBMU network on behalf of its member BMUs. |
| | - 4.3. DI-D03. By EoP At least 3 meetings attended by the KCBMU network reflect the network's position enabling better marine/coastal biodiversity conservation and related local management provisions, have been enacted or amended | - 4.3. Meeting minutes from KBMU's Participation to county-level consultations highlighting the position held by KBMU network and the outcome of the consultation |

Activities

Each activity is numbered according to the Output that it will contribute towards, for example, 1.1, 1.2, 1.3 are contributing to Output 1.

   1.1. Conduct a SAGE Assessment of the current BMUs network governance structure.
   1.2. Develop governance guidelines/procedures based on the SAGE assessment
   1.3. Conduct trainings of BMU leaders on effective governance practices and strategies
   1.4. Develop strategies to ensure the active participation of marginalized or underrepresented community

members.

2.1. Conduct an Organization Capacity Assessment (OCA) or Needs Assessment (NA) to identify capacity gaps within the BMUs network and its Members.

2.2. Develop and conduct tailored capacity-building programs based on the OCA/NA for Network members at the Ward, Sub-County and County levels

2.3. Develop and update a Kilifi BMU Network website with links to Network members' websites

2.4. Support regular meetings (monthly of the Kilifi BMU Network members)

3.1. Create a standardized reporting system for BMUs activities and decision-making processes.

3.2. Undertake regular BMU Network community sensitization and awareness meetings/campaigns within the Network Members and assemblies

4.1. Conduct trainings of Network members on advocacy and negotiation skills.

4.2. The KBMU network represents its member BMUs at county-level consultative meetings for them to effectively input in fisheries decision-making and county-level planning.

4.3. Conduct meetings/workshops with other existing networks (neighbouring counties and National level), key organizations to amplify BMUs' voices in policy discussions.

4.4. Monitor and evaluate BMU's representation in fisheries decision-making processes and adapt strategies for improvement.

Important Assumptions:

Please describe up to 6 key assumptions that, if held true, will enable you to deliver your Outputs and Outcome.

- The representatives from the 17 BMUs and the KCBMU network members from County, Sub-county and Ward level see their interest in engaging and collaborating to progress coastal/marine resources management
- The county government staff remain committed to engage with KCBMU network as key intermediary with BMUs
- Funding availability from Darwin Initiative to support implementation of project activities
- Good working relationship between OA, FFI, BMU Network and KDOF
- There is effective communication and coordination among stakeholders.
- A conducive legislative environment to support continued operationalization of the Network
- Establishment of JCMA/CMA within the county

Section 11 - Budget and Funding

Q24. Budget

Please complete the appropriate Excel spreadsheet, which provides the Budget for this application. Some of the questions earlier and below refer to the information in this spreadsheet.

 ⤓ Budget_Kilifi BMU Network_FINAL_TN

🗓 23/10/2023

🕐 17:34:44

▣ xlsx 38.23 KB

Q25. Alignment with other funding and activities

This question aims to help us understand how familiar you are with other work in the geographic/thematic area, and how this proposed project will build on or align with this to avoid any risks of duplicating or conflicting activities.

Q25a. Is this new work or does it build on existing/past activities (delivered by anyone and funded through any source)?

⊙ Development of existing/past activities

Please provide details:

The proposed project builds on a one year Small Technical Grant (STG) project funded by the EU BIOPAMA which had the overall objective to strengthen the institutional legal framework of the Kilifi County BMU Network. The project started in started in January 2023 and ends in December 2023. To date the project has achieved a number of significant milestones that include
Supporting the formal establishment and registration of the Kilifi County BMU Network with the Kilifi County government.
Facilitating a transparent and democratic election process for Network officials who will represent the 17 BMUs within the County.
Mapping the Network's jurisdiction to clearly define its operational boundaries such as identifying the primary fish landing sites associated with each of the 17 BMUs and potential fish spawning grounds and critical habitats for conservation efforts.
Estimating catch landings for each of the 17 BMUs through Catch Assessment Surveys (CAS).
Developing the Network By-laws to operationalize the Network.
Proposed project builds on this achievements, further engaging to now develop the governance and institutional capacity of the now legally established Network.

Q25b. Are you aware of any current or future plans for work in the geographic/thematic area to the proposed project?

⊙ Yes

Please give details explaining similarities and differences, and explaining how your work will be additional, avoiding duplicating and conflicting activities and what attempts have been/will be made to co-operate with and share lessons learnt for mutual benefit.

Within the county and along the coast there are several on-going projects targeting the main one being the Kenya Marine Fisheries Socio-Economic Development Project (KEMFSED) whose overall objective is to improve management of priority fisheries and mariculture and increase access to complementary livelihood activities in coastal communities. Within the county, the project is supporting BMUs in the establishment of joint co-management areas and a total of 4 JCMAs have already been identified and there is on-going work to develop JCMA co-management plans. The proposed project complements and supports these efforts by strengthening the capacity of the Network to provide support for the implementation of the JCMA plans.
Nevertheless, there is no other organization that is currently working on similar objectives targeting the Network.

Q26. Value for Money

Please demonstrate why your project is good value for money in terms of impact and cost-effectiveness of each pound spend (economy, efficiency, effectiveness and equity). Why is it the best feasible project for the amount of money to be spent?

OA used its experienced team based in Kilifi county and worked with local and international partners to develop the budget for a project spanning only on one year, enabling to demonstrate quick progress. A successful one-year project will enable another phase, possible turning into a more comprehensive and integrated project with the KCBMU network.

The budget has been broken down and checked ensuring that estimates give accurate costings. The full OA team that will work on the project is already in place and active, which will enable the project to start very quickly if the grant is awarded. Costs will be closely monitored through financial reporting through cashbooks and purchasing requirements that will be integrated into sub-grant agreements to ensure value for money is being achieved. Project expenditure will be monitored closely by the Project Manager to identify where efficiencies can be made, for example, combining meetings where sensible to increase value for money workshop costs.

Q27. Capital items

If you plan to purchase capital items with Darwin funding, please indicate what you anticipate will happen to the items following project end. If you are requesting more than 10% capital costs, please provide your justification here.

The project anticipates to procure a computer laptop with requisite software and a printer for the project whose costs will not exceed £1,000. The equipment will continue supporting Network activities ie reporting even when the project comes to an end.

Section 12 - Safeguarding and Ethics

Q28. Safeguarding

All projects funded under the Biodiversity Challenge Funds must ensure proactive action is taken to promote the welfare and protect all individuals involved in the project (staff, implementing partners, the public and beneficiaries) from harm. In order to provide assurance of this, projects are required to have specific procedures and policies in place.

Please upload the following required policies:

- **Safeguarding Policy**: including a statement of commitment to safeguarding and a zero tolerance statement on bullying, harassment and sexual exploitation and abuse.
- **Whistleblowing Policy**: which details a clear process for dealing with concerns raised and protects whistle blowers from reprisals.
- **Code of Conduct**: which sets out clear expectations of behaviours – inside and outside the workplace – for all involved in the project and makes clear what will happen in the event of non-compliance or breach of these standards, including compliance with IASC 6 Principles.

If any of these policies are integrated into a broader policy document or handbook, please upload just the relevant or equivalent sub-sections to the above policies, with (unofficial) English translations where needed.

Please outline how (a) beneficiaries, the public, implementing partners, and staff are made aware of your safeguarding commitment and how to confidentially raise a concern, (b) safeguarding issues are investigated, recorded and what disciplinary procedures are in place when allegations and complaints are upheld, (c) you will ensure project partners uphold these policies.

If your approach is currently limited or in the early stages of development, please clearly set out your plans address this.

OA will adopt FF's policies on safeguarding, which it was done previously when sub-granted funds by FF. FF defines social safeguards as relating to the risk that chosen conservation strategies may pose to local stakeholders, whereas it defines safeguarding as relating to the behaviour of its staff and partners towards each other and local stakeholders. Both social safeguards and safeguarding comprise policies, standards and mechanisms designed to protect and respect basic human rights, and fall under the wider Environmental and Social Management Systems.

Section 13 - British Embassy or High Commission Engagement

Q29. British embassy or high commission engagement

It is important for UK Government representatives to understand if UK funding might be spent in the project country/ies. Please indicate if you have contacted the relevant British embassy or high commission to discuss the project and attach details of any advice you have received from them.

⊙ Yes

Please attach evidence of request or advice if received.

⤓ British High Commision_Oceans Alive Foundation_Letter
📅 23/10/2023
🕐 18:55:46
📄 pdf 103.57 KB

Section 14 - Project Staff

Q30. Project staff

Please identify the core staff (identified in the budget), their role and what % of their time they will be working on the project.

Name (First name, Surname)	Role	% time on project	1 page CV or job description attached?
Lionel Dishon Murage	**Project Leader**	44	Checked
Remmy Safari Shoka	Fisheries/Co-Management Manager	70	Checked
Alice Mshai	Projects Manager	44	Checked
John Dominic Balarin	Technical Advisor	33	Checked

Do you require more fields?

⊙ Yes

Name (First name, Surname)	Role	% time on project	1 page CV or job description attached?
Lenice Atieno Ojwang	Monitoring and Evaluation	12	Checked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked
No Response	*No Response*	0	Unchecked

Please provide 1 page CVs (or job description if yet to be recruited) for the project staff listed above as a combined PDF.

⇩ <u>CV Staff_Combined</u>
📅 23/10/2023
🕐 18:32:30
🗋 pdf 581.77 KB

Have you attached all project staff CVs?

☉ Yes

Section 15 - Project Partners

Q31. Project Partners

Please list all the Project Partners (including the Lead Partner who will administer the grant and coordinate delivery of the project), clearly setting out their roles and responsibilities in the project including the <u>extent of their engagement so far</u>.

Lead Partner name:	Oceans Alive Foundation
Website address:	https://www.oceansalive.org/
Why is this organisation the Lead Partner, and what value to they bring to the project? (including roles, responsibilities and capabilities and capacity):	OA has been taking lead in implementing a number of programmes geared to support the sustainable utilization and management of coastal/marine natural resources. It has established and continue to support the first established community conservation area (Tengefu) and the establishment of a co-managed area. OA is taking lead in supporting the implementation of the first approved CMA plan in Kenya. OA has spearheaded the establishment of the Kilifi County BMU Network and has been on the forefront in strengthening its operational capacity.

International/In-country Partner	⊙ In-country
Allocated budget (proportion or value):	£▆▆▆▆
Represented on the Project Board (or other management structure)	⊙ Yes
Have you included a Letter of Support from this partner?	⊙ Yes

Do you have partners involved in the Project?

⊙ Yes

1. Partner Name:	Fauna & Flora (Kenya country programme)
Website address:	https://www.fauna-flora.org/countries/kenya/
What value does this Partner bring to the project? **(including roles, responsibilities and capabilities and capacity):**	In this project, Fauna & Flora (FF) and its Kenya marine/coastal programme manager will specifically provide technical support and input into Monitoring & Evaluation, strengthening BMU capacity via the KCBMU network, and definition of governance rules for the network. They will also support stakeholder engagement at county and national level where relevant, for coordination. FF has worked in the coast of Kenya for over 16 years and with OA on occasional activities and small organisational development support since 2020. FF has a range of experience on community-based marine/coastal resources management in the South (Kwale county) and North (Lamu county) parts of Kenyan coast. As part of recent organisational support, FF facilitated a strategic review of OA for their future development and the elaboration of internal policies and manuals. FFI also maintains strong, trusting and collaborative relationships with several Kenya public agencies, such as Kenya Wildlife Service (KWS, since 2003) and Kenya Fisheries Service (KeFS, since 2009). FFI will leverage these existing relationships and others with a range of coastal actors to help facilitate and coordinate the participation of all in this project during implementation.
International/In-country Partner	⊙ In-country
Allocated budget:	£▆▆▆▆
Representation on the Project Board (or other management structure)	⊙ Yes
Have you included a Letter of Support from this partner?	⊙ Yes

2. Partner Name:	Kilifi County Beach Management Unit Network (KCBMU Network)
Website address:	None
What value does this Partner bring to the project? **(including roles, responsibilities and capabilities and capacity):**	The Kilifi County BMU Network will be responsible for: 1. Coordinating and sensitizing Member BMUs 2. Partake in capacity building activities 3. Executives undertake SAGE activities 4. Train Member BMUs in SAGE assessment
International/In-country Partner	⦿ In-country
Allocated budget:	£██
Representation on the Project Board (or other management structure)	⦿ Yes
Have you included a Letter of Support from this partner?	⦿ Yes

3. Partner Name:	Kilifi County Department of Blue Economy
Website address:	None
What value does this Partner bring to the project? **(including roles, responsibilities and capabilities and capacity):**	The Kilifi County Department of Blue Economy will be responsible for: 1. Coordinating and calling for meetings 2. Conducting training 3. Provide BMU tools for harmonization and update 4. Partake in Monitoring and Evaluation
International/In-country Partner	⦿ In-country
Allocated budget:	£██
Representation on the Project Board (or other management structure)	⦿ Yes
Have you included a Letter of Support from this partner?	⦿ Yes

4. Partner Name:	*No Response*
Website address:	*No Response*

What value does this Partner bring to the project?	
	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Representation on the Project Board (or other management structure)	○ Yes ○ No
Have you included a Letter of Support from this partner?	○ Yes ○ No

5. Partner Name:	*No Response*
Website address:	*No Response*
What value does this Partner bring to the project?	
	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Representation on the Project Board (or other management structure)	○ Yes ○ No
Have you included a Letter of Support from this partner?	○ Yes ○ No

6. Partner Name:	*No Response*
Website address:	*No Response*

What value does this Partner bring to the project?

(including roles, responsibilities and capabilities and capacity):

No Response

International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Representation on the Project Board (or other management structure)	○ Yes ○ No
Have you included a Letter of Support from this partner?	○ Yes ○ No

If you require more space to enter details regarding Partners involved in the project, please use the text field below.

No Response

Please provide a combined PDF of all letters of support.

⤓ Support Letter_Combined
📅 23/10/2023
🕐 18:03:21
📄 pdf 610.35 KB

Section 16 - Lead Partner Capability and Capacity

Q32. Lead Partner Capability and Capacity

Has your organisation been awarded Biodiversity Challenge Funds (Darwin Initiative, Darwin Plus or Illegal Wildlife Trade Challenge Fund) funding before (for the purposes of this question, being a partner does not count)?

⊙ No

If no, please provide the below information on the lead partner.

What year was your organisation established/ incorporated/ registered?	01 January 2019
What is the legal status of your organisation?	⊙ NGO

How is your organisation currently funded?	Oceans Alive is funded through: 1. Unrestricted funding 2. Donations 3. Restricted funded projects 4. Philanthropic grants 5. Revenue generated from LEAP gap year volunteer expeditions 6. University student grants

Describe briefly the aims, activities and achievements of your organisation. Large organisations please note that this should describe your unit or department.

Aims	Oceans Alive aims for sustainable management of Kenyan traditional coastal fisheries resources. Targeting indigenous people and local communities' benefiting livelihood improvements by being self-governing custodians of nature. This is made possible through sustainable utilization, awareness, and community-based initiatives in coastal biodiversity conservation and resource use.
Activities	OA activities are built on 4 overarching objectives: a. To ensure sustainable management of traditional fisheries b. Instil local community and indigenous people engage in governance of marine resources c. Their activities improve economic benefits of fishers and related value chains d. Results have social benefits for marginalised fisher community
Achievements	OA has succeeded in several firsts in Kenya a. Supported establishment of first CCA b. Established the first BMU CMA Plan c. Supported formation of the first BMU network d. Tested community-oriented coral gardening, back yard permaculture, fuel efficient cooking and fish processing stoves

Provide detail of 3 contracts/projects held by the Lead Partner that demonstrate your credibility as an organisation and provide track record relevant to the project proposed.

These contracts/awards should have been held in the last 5 years and be of a similar size to the grant requested in your application.

Contract/Project 1 Title	BIOPAMA Medium Technical Grant: Empowerment of Kuruwitu Beach Management Unit (K-BMU) to implement its recently approved 120 km2 Co-Management Area Plan (CMAP) with attention to SAGE Assessment for strengthening of institutional governance and legal frameworks for sustainable operations
Contract Value/Project budget (include currency)	▮▮▮▮▮ Euros
Duration (e.g. 2 years 3 months)	2 years

Role of organisation in project	Oceans Alive provide technical capacity in the areas of BMU and CMA development and in the implementation phase. OA will be crucial in project and fund management and guide the BMU to implement the project assisting technical working group and project management teams.
Brief summary of the aims, objectives and outcomes of the project	K-BMU, in preparation of their KCMA Plan, highlighted over 100 management issues. The project is helping roll out those priorities to ensure the sustainability of resource use and securing livelihoods of fisher and value chain by addressing 1) governance, 2) establishing legal framework and 3) sustainable livelihoods which aims at: (1) Management and governance of KCMA enhanced for sustainable livelihoods from coastal fisheries resources, (2) Legal framework of K-BMU established to implement the KCMA Plan strengthening security of tenure for sustainable livelihoods and effective biodiversity conservation and (3) Sustainable livelihoods of K-BMU associated value chain actors supported
Client/independent reference contact details (Name, e-mail)	Kuruwitu Beach Management Unit Ali Karisa Garama KBMU Chairperson ███████████████

Contract/Project 2 Title	BIOPAMA Small Technical Grant: Institutional strengthening of the legal framework of Kilifi County fishers' network for the sustainable management and Governance of a 1600 km2 Joint Co-Management Area
Contract Value/Project budget (include currency)	████████ Euros
Duration (e.g. 2 years, 3 months)	1 year
Role of organisation in project	OA provide the Network with technical capacity in the areas of registering and its operationalization. This includes assisting 17 BMUs in JCMA development, formalization of the network and initiating its activities to coordinate collaboration between members and county. This includes project and financial management providing technical support.
Brief summary of the aims, objectives and outcomes of the project	The project aims to strengthen county representation of 17 BMUs under the umbrella of Kilifi County Beach Management Unit (BMU) Network and JCMA. This includes (1) Support the KBMU-NW to be legally constituted and officially registered with a constitution, and operational by laws, (2) identify the JCMA jurisdiction and boundaries and to contribute to mapping the area and zoning of critical ecosystem habitats and shared stocks, (3) conduct a resource assessment and (4) compile a priority JCMA operational plan.
Client/independent reference contact details (Name, e-mail)	Kilifi County Beach Management Unit Network Charles Nyale Janji Chairperson KCBMU NW ███████████████

Contract/Project 3 Title	Platcorp Foundation: Coral restoration and strengthening of CBOs operational capacity
Contract Value/Project budget (include currency)	▮▮▮▮▮ USD
Duration (e.g. 2 years, 3 months)	2 years
Role of organisation in project	OA is responsible for technical, financial, implementation and monitoring framework. This includes support to OA staff, strengthening both the capacity of staff and the community institutions and women trader's mama karangas. OA is responsible for the financial management and administration of project funds and leading the technical working group.
Brief summary of the aims, objectives and outcomes of the project	This project aims at strengthening the capacity of KCW-CBO in implementing their fisheries management measures and strengthening their operational capacity. The focus has been institutional support for Tengefu operations, fundraising and establishment of coral reef fishery restoration initiatives. This entails the combined effort of the CBO, BMU and county, in enforcement of the KBMU co-management plans as well as roles of the CBO (ie joint patrols). The project also involves the CBO and BMU are assisted in development of business plans (including fish catch surveys, investigating concessions with tour operators) with an aim of enabling sustainable financial operations through eco-tourism.
Client/independent reference contact details (Name, e-mail)	Kuruwitu Conservation & Welfare Community Based Organization Mariam Jabali Chitsaka KCW-CBO Chairperson ▮▮▮▮▮▮▮▮▮▮▮▮

Have you provided the requested signed audited/independently examined accounts (or other financial evidence as indicated in the Finance Guidance)?

⊙ Yes

Section 17 - Certification

Q30. Certification

If this section is incomplete the entire application will be rejected.

Please note if you do not upload the relevant materials below your application may be made ineligible.

On behalf of the

Company

of

Oceans Alive Foundation

I apply for a grant of

£99,814.00

I certify that, to the best of our knowledge and belief, the statements made by us in this application are true and the information provided is correct. I am aware that this application form will form the basis of the project schedule should this application be successful.

(This form should be signed by an individual authorised by the applicant institution to submit applications and sign contracts on their behalf.)

- I have enclosed CVs for key project personnel, a cover letter, letters of support, a budget, logframe, theory of change, Safeguarding and associated policies, and project workplan.
- Our last two sets of signed audited/independently verified accounts and annual report (or other financial evidence – see Finance Guidance) are also enclosed.

Checked

Name	Lionel Dishon Murage
Position in the organisation	Programs Manager
Signature (please upload e-signature)	⬇ [E-Signature_Lionel Dishon Murage](#) 📅 23/10/2023 🕐 18:28:48 📄 pdf 54.57 KB
Date	23 October 2023

Please attach the requested signed audited/independently examined accounts or other financial evidence (see Finance Guidance)

⬇ [Audited books of account 2022-min](#)
📅 23/10/2023
🕐 18:25:46
📄 pdf 3.02 MB

Please upload the Lead Partner's Safeguarding Policy, Whistleblowing Policy and Code of Conduct as a PDF

⬇ [OA Code of Conduct](#)
📅 23/10/2023
🕐 18:27:03
📄 pdf 480.72 KB

Section 18 - Submission Checklist

Checklist for submission

	Check
I have read the Guidance, **including the "Darwin Initiative Guidance", "Monitoring Evaluation and Learning Guidance", "Standard Indicator Guidance", "Risk Guidance", and "Finance Guidance".**	Checked
I have read, and can meet, the current Terms and Conditions **for this fund.**	Checked

I have provided actual start and end dates **for the project.**	Checked
I have provided my budget based on UK government financial years **i.e. 1 April – 31 March and in GBP.**	Checked
I have checked that our budget is complete, **correctly adds up and I have included the correct final total at the start of the application.**	Checked
The application been signed by a suitably authorised individual (**clear electronic or scanned signatures are acceptable).**	Checked
I have attached the below documents to my application: • a cover letter from the Lead Partner, **outlining how any feedback received at has been addressed where relevant, as a single PDF.**	Checked
• **my budget (which meets the requirements above) using the template provided.**	Checked
• a signed copy of the last 2 annual report and accounts for the Lead Partner (or other financial evidence – see Finance Guidance, or provided an explanation if not	Checked
• My completed workplan as a PDF using the template provided.	Checked
• a copy of the Lead Partner's Safeguarding Policy, Whistleblowing Policy and Code of Conduct (Question 27).	Checked
• 1 page CV or job description for all the Project Staff identified at Question 29, including the Project Leader, or provided an explanation of why not, combined into a single PDF.	Checked
• A letter of support from the Lead Partner and partner(s) identified at Question 30, or an explanation of why not, as a single PDF.	Checked
I have been in contact with the FCDO **in the project country/ies and have included any evidence of this. If not, I have provided an explanation of why not.**	Checked
My additional supporting evidence is in line with the requested evidence, amounts to a maximum of 5 sides of A4, and is combined as a single PDF.	Checked
(If copying and pasting into Flexi-Grant) I have checked that all my responses have been successfully copied into the online application form.	Checked
I have checked the Darwin Initiative website **immediately prior to submission to ensure there are no late updates.**	Checked
I have read and understood the Privacy Notice on the Darwin Initiative website**.**	Checked

We would like to keep in touch!

Please check this box if you would be happy for the lead applicant (Flexi-Grant Account Holder) and project leader (if different) to be added to our mailing list. Through our mailing list we share updates on upcoming and current application rounds under the Darwin Initiative and our sister grant scheme, the IWT Challenge Fund. We also provide occasional updates on other UK Government activities related to biodiversity conservation and share our quarterly project newsletter. You are free to unsubscribe at any time.

Checked

Data protection and use of personal data

Information supplied in the application form, including personal data, will be used by Defra as set out in the **Privacy Notice**, available from the <u>Forms and Guidance Portal</u>.

This **Privacy Notice must be provided to all individuals** whose personal data is supplied in the application form. Some information may be used when publicising the Darwin Initiative including project details (usually title, lead partner, project leader, location, and total grant value).

STRENGTHENING KILIFI COUNTY BEACH MANAGEMENT UNIT NETWORK TO IMPROVE CO-MANAGEMENT WORKPLAN

Output	Activity	Milestone	No. of Days	Year 1(2024/2025)			
				Q1	Q2	Q3	Q4
1. Kilifi county BMU network has clear governance rules agreed by all its 17 constituting member BMUs, is operational and inclusive of vulnerable and marginalized groups' representatives	1.1. Conduct a SAGE Assessment of the current BMUs network governance structure.	Complete the SAGE Assessment	35	██			
	1.2. Develop governance guidelines and procedures based on the SAGE assessment	Governance guidelines and procedures	10	██			
	1.3. Conduct trainings of BMU Network members on effective governance practices and strategies	Trainings for BMU Network members on effective governance practices & strategies.	10	██			
	1.4. Develop strategies to ensure the active participation of marginalized or under represented community members.	Plan & strategies on participation of marginalized members within the BMU Network.	10	██			
2. Kilifi county BMU network delivers training and share best practices to its member BMUs and relays their achievements in sustainable marine/coastal resource management	2.1. Conduct an Organization Capacity Assessment (OCA) or Needs Assessment (NA) to identify capacity gaps within the BMUs network and its Members.	Organization Capacity Assessment (OCA) or Needs Assessment	20		██		
	2.2. Develop tailored capacity-building programs based on the OCA/NA for Network members at the Ward, Sub-County and County levels	capacity-building programs based on the OCA	20		██	██	
	2.3. Develop and update a Kilifi BMU Network website with links to Network members' websites	pdated Kilifi BMU Network website	10			██	
	2.4. Support regular meetings (monthly of the Kilifi BMU Network members)	Monthly meetings of the Kilifi BMU Network members	8		██	██	██
3. Kilifi county BMU network is an active platform monitoring the performance of its member BMUs, facilitating awareness raising campaigns to community members	3.1. Create a standardized reporting system for BMUs activities and decision-making processes.	Standardized reporting system for BMUs activities	10			██	██
	3.4. Undertake regular BMU Network community sensitization and awareness meetings/campaigns within the Network Members and assemblies		16		██	██	██
4. Kilifi county's regulatory environment is improved, further	4.1. Establish a structured process for BMUs to participate in fisheries decision-making at the local and county levels.	Structured process for BMUs to participate in fisheries decision-making	10				██

Output	Activity	Milestone	No. of Days	Year 1(2024/2025)			
				Q1	Q2	Q3	Q4
enabling the BMU network to actively participate in cross-sectoral fora impacting marine/coastal resource use and management	4.3. Conduct trainings of Network members on advocacy and negotiation skills.	Trainings for Network on advocacy and negotiation skills	12				
	4.4. Conduct meetings/workshops with other existing networks (neighbouring counties and National level), key organizations to amplify BMUs' voices in county planning processes and discussions.	Meetings/workshops with other existing networks	5				
	4.5. Conduct monitoring and evaluation of BMU's representation in fisheries decision-making processes and adapt strategies for improvement	Monitoring and evaluation process of BMU's	17				